



09-12-01

Sector 1A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No 09/811,236
3 Filing Date 3/16/2001
3 Inventorship Zintel et al.
4 Applicant Microsoft Corporation
4 Attorney's Docket No. MS1-740US
5 Title: XML-Based Language Description for Controlled Devices

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

6 To: Commissioner of Patents and Trademarks,
7 Washington, D.C. 20231

8 From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
9 Customer No. 22801

22801
PATENT TRADEMARK OFFICE

10 The following enumerated items accompany this transmittal letter and are being submitted for the
11 matter identified in the above caption.

- 11 1. Transmittal letter including Certificate of Mailing
- 12 2. Notice to File Missing Parts of Application
- 13 3. Executed Declarations
- 14 4. Fee Transmittal
- 15 5. Check #1427 in the amount of \$520.00 (surcharge and 2 month extension of time)
- 16 6. Return Post Card
- 17 7. Information Disclosure Statement w/Form PTO-1449

18 Large Entity Status [x] Small Entity Status []

19 Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
20 should be charged to the Deposit Account indicated below.

21 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
22 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
23 processing fees, including any necessary extension fees under 37 CFR 1.17.

24 Date: Sept. 10, 2001

25 By: Lewis C. Lee

Lewis C. Lee
Reg. No. 34,656

CERTIFICATE OF MAILING

21 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
22 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
23 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
24 below-indicated date. Any Express Mail No. has also been marked on the listed items.

25 Express Mail No. (if applicable)

EL 887745758

Date: Sept. 10, 2001

By: Cheryl K. Boies

Cheryl K. Boies



1887745758

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)520.00

Complete if Known

Application Number	<u>09/811,236</u>
Filing Date	<u>3/16/2001</u>
First Named Inventor	<u>Zintel</u>
Examiner Name	<u>/</u>
Group Art Unit	<u>/</u>
Attorney Docket No.	<u>MSI-44045</u>

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	<u>12-0769</u>
Deposit Account Name	<u>Lee & Hayes, PLLC</u>

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	<u>130</u>
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	<u>390</u>
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X	=
Independent Claims	- 3** =	X	=
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$520.00)

SUBMITTED BY

Name (Print/Type)	<u>Leviss G. Lee</u>	Registration No. (Attorney/Agent)	<u>34,656</u>	Complete if applicable
Signature	<u>Leviss G. Lee</u>	Telephone	<u>509 324-9256</u>	
		Date	<u>Sept 10, 2001</u>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

E1 887745758



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,236	03/16/2001	Zintel	MS1-740US



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CONFIRMATION NO. 3127
 FORMALITIES LETTER



OC00000006127906

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 RHARIS1 00000006 09811236

01 FC:105 130.00 0P

09/13/2001 RHARIS1 00000006 09811236

02 FC:116 390.00 0P